Under the Paperwork Reduction Act of 1995, no persons are required

STATEMENT BY APP

(use as many sheets as necessary)

of

PTO/SB/08A (08-00) Approved se through 10/31/2002. OMB 0651-0031
Patent and Trademark Since: U.S. DEPARTMENT OF COMMERCE a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

Sheet

Examiner

SEP 1 7 2001 INFORMATION DISCLOS

Complete if Known **Application Number** 09/696,666 10/25/2000 Filing Date First Named Inventor Kahn Group Art Unit NYA NYA Examiner Name

103.1049.01

				U.S. PATENT DOCUM	ENTS	
aminer tiak	Cite	U.S. Patent Document Kind Code ² Number (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1_	4,761,785	T	Clark et al.	08/02/1988	
	2	US005,088,081	Α	Farr	02/11/1992	
71/	3	US005,195,100	Α	Katz et al.	03/16/1993	
	4	US005,208,813	А	Stallmo	05/04/1993	
	75/	US005,235,601	A	Stallmo et al.	08/10/1993	
	6	US005,278,838	Α	Ng et al.	01/11/1994	
	7	US005,313,626	Α	Jones et al.	05/17/1994	
INA	. 8	US005,341,381	Α	Fuller	08/23/1994	
	//9	US005,675,726	Α	Hoḥenstein et al.	10/07/1997	
	10	US005,948,110	Α	Hitz et al.	09/07/1999	
	11	US005,963,962	Α	Hitz et al.	10/05/1999	
						RECEIVED
						OCT 0 1 2001
						Technology Center 2100

Attorney Docket Number

	FOREIGN PATENT DOCUMENTS									
Examiner		CXTe No	Foreign Patent Document Kind Code ⁵ Office ³ Number ⁴ (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ [®]		
			1/	DE	694 25 658	T2	Hitz et al.	04/19/2001		
	Ma.	٦	/2	EP	0 462 917	A2	Bond et al.	12/27/1991		
17	114		3	EΡ	0 462 917	A3	Bond et al.	12/27/1991		
1	n.		4	EP	0 462 917	B1	Bond etal.	12/27/1991		
	Į,		15	F9	0 492 808	A2	Stallmo et al.	07/01/1992		
7		$\overline{}$	8/	EΡ	0 492 808	A3	Stallmo et al.	07/01/1992		
	W.	7	/7/	EP	0 559 488	A2	Solomon et al.	09/08/1993		
7/	1		8	EP	0 702 815	B1	Hitz et al.	08/23/2000		
			9	WO	94/29807	A1	Hitz et al.	12/22/1994		
9		U	/		100					

Considered Signature *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST/8). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#	4
	/

PTO/SB/08B (08-00)

Please der the Paperv	type a plus sign (+) inst vork Reduction Act of 19	95, no per	sons are required 22	Patent and Trademark C	Juse through 10/31/2002. OMB 0651-003 Office: U.S. DEPARTMENT OF COMMERC unless it displays a valid OMB control number	
Substit	ute for form 1449A/F	то	O1	Complete if Known		
		(8	部 1 7 2007 富)	Application Number	09/696,666	
INFO	ORMATION	DIĞÇ	LOSUR	Filing Date	10/25/2000	
STA	TEMENT B	Y AŘ	PLANCE ANT	First Named Inventor	Kahn	
				Group Art Unit	NYA	
	(use as many shee	ts as nec	cessary)	Examiner Name	NYA	
Sheet	1	of	1	Attorney Docket Number	103.1049.01	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
M	1	IBM Technical Disclosure Bulletin, Vol. 36 No. 03, March 1993, "Parity Preservation for Redundant Array of Independent Direct Access Storage Device Data Loss Minimization and Repair". XP000354845.				
	\angle	A CONTRACT OF THE STATE OF THE				
	2/	STEVEN R. KLEIMAN et al., "Using NUMA Interconnects for Highly Available Filers", JanFeb. 1999. IEEE				
	3	JATMENON et al., "The Architecture of a Fault-Tolerant Cached RAID Controller", IEEE Computer Society Press, Los Alamitos, California, May 16-19, 1993. XP000398988.				
	4	SLASHDOT. TUX2: "The Filesystem That Would Be King", October 17,2000.				
<i>0</i>						
		RECEIVED				
		OCT 0 1 2001				
		Technology Center 2100				
		AM,				
Examiner		Date 11/3/1002				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Signature

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.